

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Markus Lauff et al.

Title: METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORIZING

Docket No.: 2058.101US1

Serial No.: 10/583,184

Filed: June 16, 2006

Due Date: June 4, 2007

Examiner: Unknown

Group Art Unit: 1752

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ Copy of Decision on Petition Under 37 C.F.R. 1.47(a) (4 pgs.)
- ☒ Renewed Petition Under Rule 1.47(a) (2 pgs.)
- ☒ Affidavit of Carol S. White (3 pgs.)
- ☒ Affidavit of Christine Chaux-Luedtke (9 pgs.)
- ☒ Exhibit A (1 pg.)
- ☒ Exhibit B (1 pg.)
- ☒ Exhibits C-1, 2 & 3 (3 pgs.)
- ☒ Exhibits D-1, 2 & 3 (3 pgs.)
- ☒ Exhibit E (1 pg.)
- ☒ Exhibit F (1 pg.)
- ☒ Exhibits G-1, 2, 3 & 4 (4 pgs.)
- ☒ Exhibits G-1, 2, 3 & 4 (4 pgs.)

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG & WOESSNER, P.A.

Customer Number: 50400

By: 

Name: Andre L. Marais

Reg. No. 48,095

ALM:CMG:csw

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 5 day of May, 2008

Carol S. White
Name

Carol S. White
Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

03 MAR 2008

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SCHWEGMAN, LUNDBERG & WOESSNER/SAP
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In re Application of:
LAUFF, Markus, et al.
U.S. Application No.: 10/583,184
PCT No.: PCT/EP2004/053478
International Filing Date: 15 December 2004
Priority Date: 18 December 2003
Atty's Docket No.: 2058.101US1
For: METHOD AND COMPUTER
SYSTEM FOR DOCUMENT
AUTHORING

DECISION ON PETITION UNDER
37 CFR 1.47(a)

This decision is issued in response to applicants' "Rule 1.47(a) Petition To File Application By Other Than All Inventors" filed 05 November 2007. Applicants have paid the required petition fee.

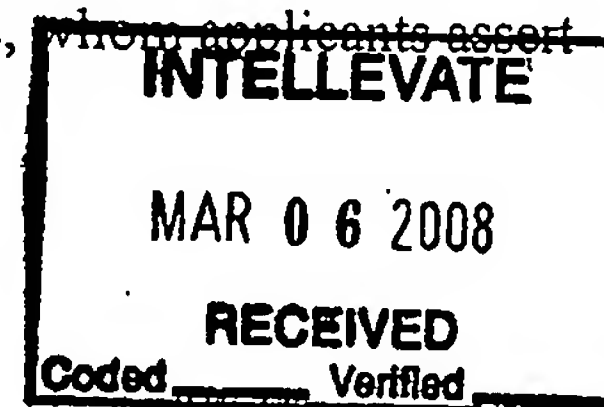
BACKGROUND

On 15 December 2004, applicants filed international application PCT/EP2004/053478. The international application claimed a priority date of 18 December 2003, and it designated the United States. On 30 June 2005, the International Bureau (IB) communicated a copy of the international application to the United States Patent and Trademark Office (USPTO). The deadline for submission of the basic national fee was thirty months from the priority date, i.e., 18 June 2006.

On 16 June 2006, applicants' filed a Transmittal Letter for entry into the national stage in the United States accompanied by, among other materials, payment of the basic national fee and a declaration executed by four of the eight inventors of record.

On 04 April 2007, the United States Designated/Elected Office (DO/EO/US) mailed a Notification Of Missing Requirement (Form PCT/DO/EO/905) indicating that a properly executed declaration in compliance with 37 CFR 1.497 was required.

On 05 November 2007, applicants filed a response to the Notification Of Missing Requirements (with required extension fee). The response included declarations executed by two of the previously non-signing inventors, as well as the petition under 37 CFR 1.47(a) considered herein. The petition seeks acceptance of the application without the signature of the remaining two inventors, Florent NICOULAND and Samuel RETHORE, whom applicants assert have refused to execute the application.



DISCUSSION

A grantable petition under 37 CFR 1.47(a) must be accompanied by: (1) the fee under 37 CFR 1.17; (2) a statement of the last known address of the non-signing inventor; (3) an oath or declaration executed by the other inventors on behalf of themselves and the non-signing inventor; and (4) factual proof that the inventor refuses to execute the application or cannot be reached after diligent effort.

With respect to item (1), applicants have submitted the required petition fee. Item (1) is therefore satisfied.

With respect to item (2), the petition does not include an express statement of the last known addresses for the two non-signing inventors. Item (2) is therefore not satisfied.

Regarding item (3), section 409.03(a) of the Manual of Patent Examining Practice (MPEP) states that:

An oath or declaration signed by all the available joint inventors with the signature block of the nonsigning inventor(s) left blank may be treated as having been signed by all the joint inventors on behalf of the nonsigning inventor(s), unless otherwise indicated.

Here, applicants have filed declarations executed by six of the eight inventors of record, and these declarations each include unsigned signature blocks for the non-signing inventors, Florent NICOULAND and Samuel RETHORE. These declarations can be accepted as having been executed by the signing inventors on their own behalf and on behalf of the non-signing inventors. Item (3) is therefore satisfied.

Regarding item (4), MPEP section 409.03(d) states that, before it can be concluded that an inventor has refused to execute the application papers, "[a] copy of the application papers should be sent to the last known address of the nonsigning inventor, or, if the nonsigning inventor is represented by counsel, to the address of the nonsigning inventor's attorney." The MPEP also states the following:

Where a refusal of the inventor to sign the application papers is alleged, the circumstances of the presentation of the application papers and of the refusal must be specified in a statement of facts by the person who presented the inventor with the application papers and/or to whom the refusal was made. Statements by a party not present when an oral refusal is made will not be accepted.

Finally, the MPEP states:

When it is concluded by the 37 CFR 1.47 applicant that a nonsigning inventor's conduct constitutes a refusal, all facts upon which that conclusion is based should be stated in the statement of facts in support of the petition or directly in the petition. If there is documentary evidence to support facts alleged in the petition or in any statement of facts, such evidence should be submitted.

Here, as evidence of the inventors' refusal to execute the declaration, applicants have provided a statement from counsel, with accompanying exhibits. However, as discussed below, these materials do not provide an acceptable showing that the non-signing inventors have refused to execute the declaration.

The petition asserts that a copy of the complete application, with a request for signature, was forwarded to the non-signing inventors by email on 05 August 2006. A copy of the email is attached to the petition. However, applicants have not provided a firsthand statement from Nancy Cournoyer, the person who forwarded this email, as required to confirm the correspondence and enclosures. Moreover, a subsequent email by Ms. Cournoyer, dated 15 August 2006 (a copy of which is included with the present petition) states that the 05 August 2006 email correspondence was returned as undeliverable. It is noted that, as indicated in the MPEP, the complete application materials, accompanied by a request for signature, should be sent to the last known address of the non-signing inventors. The emailing of such requests and documents, even if these emails are not returned as undeliverable, is generally not considered sufficient to satisfy this requirement. Accordingly, the 05 August 2006 email, which apparently was never delivered to the non-signing inventors, does not support a conclusion that the non-signing inventors have refused to execute the declaration.¹

The petition also refers to the mailing of a request for signature to the non-signing inventors by a representative of the assignee, Christine Chaux-Luedtke. A copy of an email from Ms. Chaux-Luedtke is included with the petition. The email refers to attempts to forward "by registered mail the documents to be executed" to the non-signing inventors, and states that the registered mail was delivered to inventor RETHORE, but not to inventor NICOULAND (copies of un-translated mail receipts are included with the petition). However, applicants have not provided a firsthand statement from Ms. Chaux-Luedtke to confirm and clarify the referenced actions (for example, it is not clear if the mailings included a copy of the complete application, or just the declaration and assignment documents, nor is it clear whether the address used for Mr. NICOULAND was current). Without the required firsthand statement and further supporting documents (i.e., copies of cover letters and receipt confirmations, with English translations), the registered mailings referred to in the petition do not support a determination that the inventors have refused to execute the application.

Based on the above, item (4) of a grantable petition is not satisfied on the present record. Applicants must provide adequate supplemental materials that satisfy the requirements of the MPEP and include firsthand statements (with documentary support) confirming that a request for signature, accompanied by a copy of the complete application, has been sent to the non-signing inventors at their last known addresses, and that the inventors have refused to provide the requested signatures in response to such requests.

¹ The petition also refers to a subsequent attempt to reach the inventors by email, this time by Dennis Wong on 17 April 2007. As with the 05 August 2006 emails, applicants have not provided a firsthand statement to confirm the details of this correspondence (for example, were these emails returned as undeliverable, like the 05 August 2006 emails?). In addition, it does not appear that a copy of the complete application was attached to these emails.

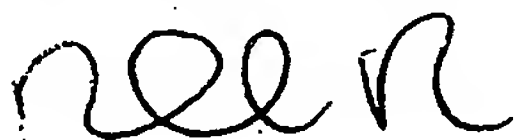
CONCLUSION

Applicants' petition under 37 CFR 1.47(a) is **DISMISSED** without prejudice.

If reconsideration on the merits of the petition is desired, a proper response must be filed within **TWO (2) MONTHS** of the mail date of the present decision. Any request for reconsideration should include a cover letter entitled "Renewed Petition Under 37 CFR 1.47(a)" and must include the additional materials required to satisfy items (2) and (4) of a grantable petition, as discussed above and in the MPEP. No additional petition fee is required.

Failure to file a proper response will result in abandonment of the application. Extensions of time are available under 37 CFR 1.136(a)

Please direct further correspondence with respect to this matter to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.



Richard M. Ross
Attorney Advisor
Office of PCT Legal Administration
Telephone: (571) 272-3296
Facsimile: (571) 273-0459

S/N 10/583,184

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Markus Lauff et al.	Examiner:	Unknown
Serial No.:	10/583,184	Group Art Unit:	1752
Filed:	June 16, 2006	Docket:	2058.101US1
Title:	METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORIZING		

RENEWED PETITION UNDER RULE 1.47(a)

Mail Stop PCT
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Commissioner for Patents
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In response to the Decision mailed March 3, 2008 in response to Applicants Petition Under 37 C.F.R. § 1.47(a) and 35 U.S.C. § 116, second paragraph, filed on November 2, 2007, Applicants submit this Renewed Petition seeking permission to file the above-captioned application (hereinafter "the application") without the signatures of Florent Nicoulaud, and Samuel Rethore, two of the eight joint inventors who are refusing to sign the application.

As stated in the Decision, a grantable petition under 37 CFR 1.47 (a) must be accompanied by:

- (1) The fee under 37 CFR 1.17 (which has been satisfied) in the previous Petition;
- (2) An express statement of the last known addresses for the two non-signing inventors.

The last known address, and believed to be current, for Florent Nicoulaud is: Avenue du Docteur Fabre, Juan-Les-Pins 06160 France. The last known address, and believed to be current, for Samuel Rethore is: 3, rue Henri Barbara, Valbonne 06560 France. Item (2) should now be satisfied;

- (3) Section 409.03(a) regarding oath or declaration has been satisfied; and

- (4) Section 409.03(d) is believed to be satisfied now with the enclosed two affidavits of Carol White, an employee of Schwegman, Lundberg & Woessner, P.A. and Christine Chaux-Luedtke, an employee of SAP, the assignee. Both affidavits should satisfy this requirement as both are firsthand statements with documentary support and supplemental materials to more than confirm and satisfy the requirements of MPEP section 409.03(d).

The undersigned, Andre L. Marais, is a patent attorney employed by the firm of Schwegman, Lundberg & Woessner P.A., and represents SAP AG, the assignee and owner of the

application.

Conclusion

The Examiner is invited to telephone Applicants' attorney at (408) 278-4042 to facilitate prosecution of this application.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,


MARKUS LAUFF ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG & WOESSNER, P.A.
P.O. Box 2938
Minneapolis, MN 55402
408-278-4042

Date 05/05/2008

By


Andre L Marais
Reg. No. 48,095

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 5 day of May 2008.

Carol S. White
Name

Carol S. White
Signature

S/N 10/583,184

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Markus Lauff et al.	Examiner:	Unknown
Serial No.:	10/583,184	Group Art Unit:	1752
Filed:	June 16, 2006	Docket:	2058.101US1
Title:	METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORIZING		

AFFIDAVIT OF CAROL S. WHITE

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I, Carol S. White, state as follows:

1. I am a Case Management Assistant with the firm of Schwegman, Lundberg & Woessner, P.A., P. O. Box 2938, Minneapolis, Minnesota 55402.
2. The law firm of Schwegman, Lundberg & Woessner, P.A. represents SAP AG, an assignee and an owner of the above-identified application.
3. Information from our client, SAP AG, indicated that co-inventors Florent Nicoulaud and Samuel Rethore are no longer employees of SAP AG. To my knowledge no forwarding addresses are available.
4. I have corresponded with Christine Chaux-Luedtke, the SAP Paralegal on many occasions via email and telephone regarding this application and in our task to reach all the inventors to have them sign the application.
5. As part of regular office procedures when formal documents are not filed with a patent application, a Combined Declaration/Power of Attorney and Assignment were prepared upon receipt of a serial number from the U.S. Patent and Trademark Office, and sent to all inventors for signature and return, along with a complete copy of the filed application. On March 18, 2008, two packages were prepared and sent to

Mr. Nicoulaud and Mr. Rethore via the U.S. Postal Service Express Mail, including letters signed by me. Both of these packages came back to me and were determined to be an unlocatable address by the U.S. Postal Express Mail Service. The March 18, 2008 letters are attached hereto as Exhibits A and B, and they reflect true and accurate copies of the letters. Copies of the returned packages and the Tracking information from the USPS website are attached hereto as Exhibits C-1, 2 & 3 and D-1, 2 & 3, and they reflect true and accurate copies of each.

On March 19, 2008, two additional packages were prepared and sent to Mr. Nicoulaud and Mr. Rethore via FedEx Express, each package included a Combined Declaration/Power of Attorney and Assignment, a complete copy of the Application and letters signed by me. Both of these packages came back to me and were determined to be an unlocatable address by the FedEx Express Service. The March 19, 2008 letters are attached hereto as Exhibits E and F, and they reflect true and accurate copies of the letters. Copies of the returned packages and the Tracking information from the USPS website are attached hereto as Exhibits G-1, 2, 3 & 4 and H-1, 2, 3 & 4, and they reflect true and accurate copies of each.

6. I have not received the signed Declaration/Power of Attorney or the Assignment documents for Mr. Nicoulaud or Mr. Rethore nor have I received any communications from them regarding the documents.

FURTHER YOUR AFFIANT SAITH NOT.

5-5-08

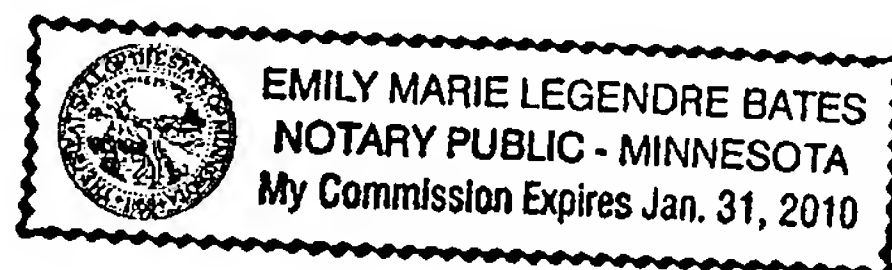
Carol S. White

Date

Carol S. White

Signed and sworn to before me on this 5 day of May, 2008.

Notary Public



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231 on May 5, 2008.

Emily Bates

Name

Emily Bates

Signature

SCHWEGMAN ■ LUNDBERG ■ WOESSNER
Intellectual Property Attorneys

PATENT PROTECTION FOR HIGH TECHNOLOGY

March 18, 2008

SENT REGISTERED AIRMAIL

Florent Nicolaud
Avenue du Docteur Fabre
Juan Les Pins 06160
France

EXHIBIT: A

URGENT ACTION NEEDED: Signature on Formal Documents (enclosed)

Re: **SAP AG Ref. No. 2003P00960WOUS**
Our Ref. No. 2058.101US1
U.S. Patent Application Serial Number: 10/583,184

Title: METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORIZING

Dear Mr. Nicolaud:

Enclosed is a Combined Declaration and Power of Attorney document that must be executed to complete the filing requirements for the above-referenced patent application. Please review the enclosed copy of the application as filed and then sign and date the document where indicated.

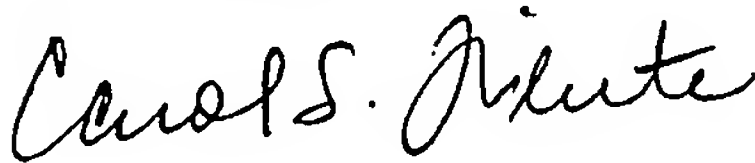
We have also enclosed an Assignment document to be executed. Please note that this Assignment document must be signed and dated in the presence of two Witnesses.

If the inventor information is incorrect on either of the above-mentioned documents, please manually correct the errors, initialing and dating all changes. The Patent Office will not accept the documents if the changes are not initialed and dated.

Please return the original set of executed documents to my attention as soon as possible. The last due date for filing these documents with the Patent Office is May 3, 2008. We have sent you these documents several times, but have not received any response. If the documents are not submitted by this date, the Application will be abandoned.

Andre L. Marais is designated as the Schwegman Quality Assurance Officer for this matter. If you have any questions regarding this matter or the service you have been provided please feel free to contact him at 408-278-4042.

Very truly yours,



Carol S. White
Case Management Assistant

Enclosures

A Professional Association
1600 TCF Tower, 121 South Eighth Street, Minneapolis, Minnesota 55402
Telephone: (612) 373-6900 Fax: (612) 339-3061 Web site: www.slwip.com

CA Office: 150 Almaden Boulevard, Suite 901, San Jose, California 95113 408-278-4040 Fax: 408-993-0832
TX Office: 8911 Capital of Texas Highway, Suite 4150, Austin, Texas 78759 512-628-9320 Fax: 512-628-9326



EXHIBIT: C-1

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- Arrived Abroad, March 20, 2008, 3:33 pm, FRANCE
- International Dispatch, March 19, 2008, 10:53 am, O'HARE APT/CHICAGO
- Processed, March 18, 2008, 7:28 pm, SAINT PAUL, MN 55111
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For samples and shipments destined for outside the European Union.

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Data must be included: name, full address, full zip-code and country code, and consignee's phone number, should we need to get in touch with them.

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For samples and shipments destined for outside the European Union.

Without invoices may be held in customs and could cause problems for your consignee.

CONSIGNEE'S DELIVERY ADDRESS COMPLETE?

Data must be included: name, full address, full zip-code and country code, and

consignee's phone number, should we need to get in touch with them.

Exceptions, shipments addressed to a P.O. box are not accepted.

BILL BARCODE VISIBLE?

Barcode must be clearly visible for us to dispatch your package efficiently.

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Intellectual Property Attorneys

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March 18, 2008

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Valbonne 06560
France

EXHIBIT: B

URGENT ACTION NEEDED: Signature on Formal Documents (enclosed)

Re: **SAP AG Ref. No. 2003P00960WOUS**
Our Ref. No. 2058.101US1
U.S. Patent Application Serial Number: 10/583,184

Title: METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORIZING

Dear Mr. Rethore:

Enclosed is a Combined Declaration and Power of Attorney document that must be executed to complete the filing requirements for the above-referenced patent application. Please review the enclosed copy of the application as filed and then sign and date the document where indicated.

We have also enclosed an Assignment document to be executed. Please note that this Assignment document must be signed and dated in the presence of two Witnesses.

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Andre L. Marais is designated as the Schwegman Quality Assurance Officer for this matter. If you have any questions regarding this matter or the service you have been provided please feel free to contact him at 408-278-4042.

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Carol S. White
Case Management Assistant

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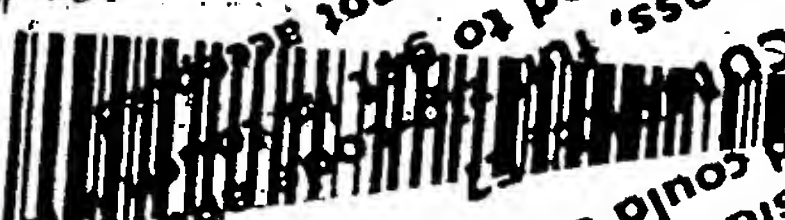


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Intellectual Property Attorneys

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March 19, 2008

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Florent Nicolaud
Avenue du Docteur Fabre
Juan Les Pins 06160
France

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U.S. Patent Application Serial Number: 10/583,184

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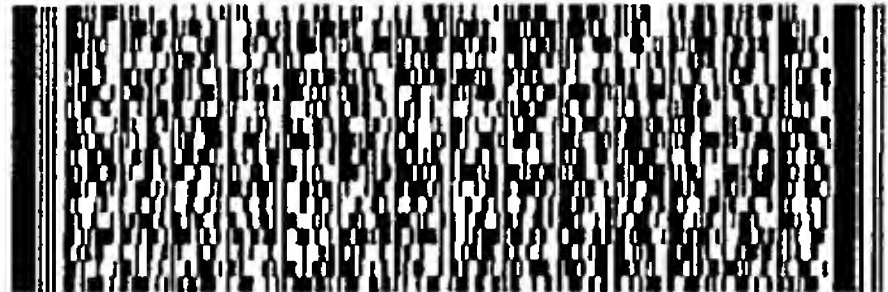
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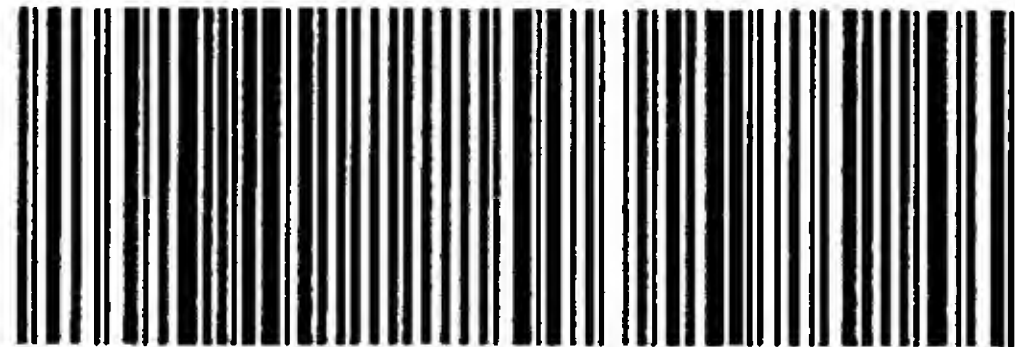
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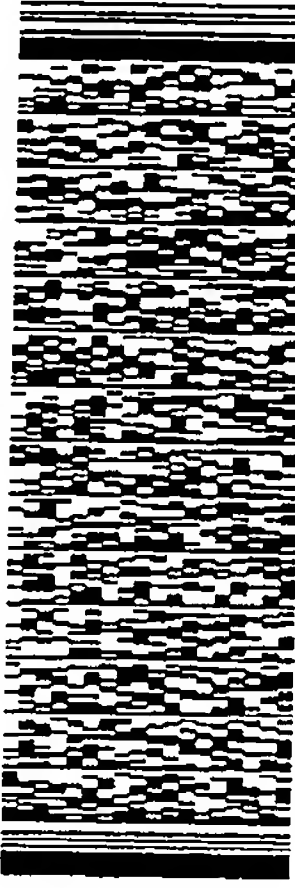
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March 19, 2008

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Samuel Rethore
3 Rue Henri Barbara
Valbonne 06560
France

EXHIBIT: F

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MINNEAPOLIS, MN 55402
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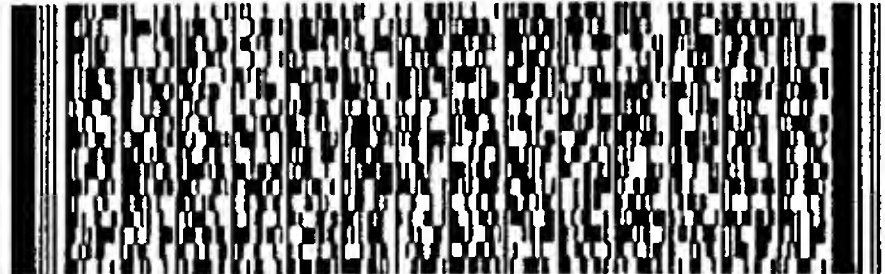
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For those shipments transported solely by road, if a conflict arises between the provisions of the CMR and this Air Waybill, the terms of the CMR shall prevail. **LIMITATION OF LIABILITY.** If not governed by the Warsaw Convention, the CMR, or other international treaties, laws, other government regulations, orders, or requirements, FedEx's maximum liability for damage, loss, delay, shortage, mis-delivery, non-delivery, misinformation or failure to provide information in connection with your shipment is limited by this Agreement and as set out in the terms and conditions of the contract of carriage. Please refer to the contract of carriage set forth in the applicable FedEx Service Guide or its equivalent to determine the contractual limitation. FedEx does not provide cargo liability or all-risk insurance, but you may pay an additional charge for each additional U.S. \$100 (or equivalent local currency for the country of origin) of declared value for carriage. 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FedEx won't be liable for damage, loss, delay, shortage, mis-delivery, non-delivery, misinformation or failure to provide information in connection with shipments of cash, currency or other prohibited items or in instances beyond our control, such as acts of God, perils of the air, weather conditions, mechanical delays, acts of public enemies, war, strike, civil commotion, or acts or omissions of public authorities (including customs and health officials) with actual or apparent authority. **NO WARRANTY.** We make no warranties, express or implied. **CLAIMS FOR LOSS, DAMAGE OR DELAY. ALL CLAIMS MUST BE MADE IN WRITING AND WITHIN STRICT TIME LIMITS. SEE OUR TARIFF, APPLICABLE FEDEX SERVICE GUIDE, OR STANDARD CONDITIONS OF CARRIAGE FOR DETAILS.** The Warsaw Convention provides specific written claims procedures for damage, delay or non-delivery of your shipment. Moreover, the interpretation and operation of the Warsaw Convention's claims provisions may vary in each country. Refer to the Convention to determine the claims period for your shipment. The right to damages against us shall be extinguished unless an action is brought within two years, as set forth in the Convention. FedEx is not obligated to act on any claim until all transportation charges have been paid. The claim amount may not be deducted from the transportation charges. If the recipient accepts the shipment without noting any damage on the delivery record, FedEx will assume the shipment was delivered in good condition. In order for us to consider a claim for damage, the contents, original shipping carton and packing must be made available to us for inspection. **MANDATORY LAW.** Insofar as any provision contained or referred to in this Air Waybill may be contrary to any applicable international treaties, laws, government regulations, orders or requirements such provisions shall remain in effect as a part of our agreement to the extent that it is not overridden. The invalidity or unenforceability of any provisions shall not affect any other part of this Air Waybill. Unless otherwise indicated, **FEDERAL EXPRESS CORPORATION, 2005 Corporate Avenue, Memphis, TN 38132, USA,** is the first carrier of this shipment. Email address located at www.fedex.com.



FedEx Express
EXCEPTION / SEND AGAIN
Customer's Package/Shipment was delayed due to:

FOR IN STATION USE ONLY

☐ Package Researched Date: 03/21/08 Initial: 6305X

Comments: 3 Rue Henri Barbara c'est le

non de la residence

- ☐ Address is correct/Recipient no longer at this address
- ☐ Incorrect recipient address/Incomplete recipient address
- ☐ Need apartment or suite number
- ☐ Recipient moved and left no forwarding address or phone number
- ☐ Not in / Business closed / Not authorized to leave shipment without a signature
☒ 1st Attempt ☐ 2nd Attempt ☐ 3rd Attempt
- ☐ Signature required, please contact shipper for disposition
- ☐ Holiday - Closed
- ☐ Package not attempted (reason): _____
- ☐ Refused (reason): _____
- ☐ Future delivery. Reattempt on (date): _____
- ☐ Recipient requested hold for pickup
- ☐ Collect on Delivery. Payment not available. Reattempt to be made on (date): _____
- ☐ Bill recipient shipment, Payment not available. Reattempt to be made on (date): _____
- ☐ Other: _____

Date: 03/21/08 Employee #: 6305

PO2 MUST BE OBTAINED WHEN DELIVERY IS COMPLETED.

EXHIBIT: H-2

From: Origin ID: MICA (612) 373-6900
Terry Hathaway
SCHWEGMAN, LUNDBERG & WOESSNER
121 S. 8TH STREET
TCF TOWER, SUITE 1600
MINNEAPOLIS, MN 55402
UNITED STATES

FedEx Express
E CERTIFICATION

SHIP TO: 612-373-6900
Samuel Rethore
3 Rue Henri Barbara
Valbonne, 06560
FR

BILL SENDER

Ship Date: 19MAR08
ActWgt: 1 LB
System#: 5296758/INET8010
Account#: S *****
ToWgt: 1 LB

REF: 2058.101US1
DESC-1: Correspondence/No Customs Value
DESC-2:
DESC-3:
DESC-4:
EEI: NO EEI 30.37(b)
COUNTRY MFG: US
CARRIAGE VALUE: 00 USD
CUSTOMS VALUE: USD
T/C: S 171791774
D/T: S 171791774
SIGN: Terry Hathaway
EIN/VAT:

TRK# 7904 7309 8025
0430

INTL PRIORITY

06560
-FR
CDG

N6 NCEA

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The Warsaw Convention may apply and will govern and in most cases limit the liability of Federal Express for loss or delay of or damage to your shipment. Subject to the conditions of the contract.

CONSIGNEE COPY - PLEASE PLACE IN POUCH

After printing this label:

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

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EXHIBIT: H-3

FedEx®
Express

Extremely Urgent

Reusable Envelope

approximately twenty-four



VALBONNE

France

Information to reuse
06560 RUE HENRI BARBARA
SAINT RETIENE

FR / NCE /
06560

NCEA

air and
ments within
national
Canada
ash, cash
y other

105
06560 VALBONNE

190473098025

190473098025 0430

C NCE

111

190473098025

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or the

0 111 1105 1

US\$100.

for details.

What
For ships:
misdelivery or
declared va
FedEx® US A
See the current
For international:
shipments by surf:
Convention, typically to US\$9.07 per pound. See the current FedEx Service Guide or the FedEx®
International Air Waybill for details.

limited to US\$100 for damage, delay or loss of

may be further limited by certain treaties, including the Warsaw

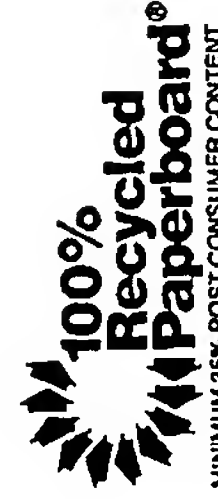
Convention, typically to US\$9.07 per pound. See the current FedEx Service Guide or the FedEx®

International Air Waybill for details.

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EXHIBIT: H-4

[Package/Envelope](#) [Freight](#) [Expedited](#) [Office/Print Services](#) *

Track Shipments/FedEx Kinko's Orders
Detailed Results

☒ Printable Version[Printable Version](#)☒ Quick Help

Tracking number 790473098025
Ship date Mar 19, 2008

Reference 2058.101US1
Destination VALBONNE FR
Service type Priority Envelope
Weight 1.1 lbs.

Status Delivery exception

Date/Time	Activity	Location	Details
Mar 31, 2008	5:13 PM Package returned to shipper	SOPHIA ANTIPOLIS FR	Return tracking number: 93302610
	10:00 AM At local FedEx facility	SOPHIA ANTIPOLIS FR	
Mar 28, 2008	11:57 AM At local FedEx facility	SOPHIA ANTIPOLIS FR	
Mar 27, 2008	11:42 AM At local FedEx facility	SOPHIA ANTIPOLIS FR	
Mar 26, 2008	8:45 PM At local FedEx facility	SOPHIA ANTIPOLIS FR	
	10:39 AM Delivery exception	SOPHIA ANTIPOLIS FR	Incorrect address
	9:02 AM On FedEx vehicle for delivery	SOPHIA ANTIPOLIS FR	
Mar 25, 2008	8:49 PM At local FedEx facility	SOPHIA ANTIPOLIS FR	
	11:36 AM Delivery exception	SOPHIA ANTIPOLIS FR	Incorrect address - Apartment/Suite
	8:45 AM On FedEx vehicle for delivery	SOPHIA ANTIPOLIS FR	
Mar 21, 2008	8:54 PM At local FedEx facility	SOPHIA ANTIPOLIS FR	
	11:49 AM Delivery exception	SOPHIA ANTIPOLIS FR	Incorrect address
	8:49 AM On FedEx vehicle for delivery	SOPHIA ANTIPOLIS FR	
	8:15 AM At local FedEx facility	SOPHIA ANTIPOLIS FR	
Mar 20, 2008	10:07 PM Departed FedEx location	PARIS FR	
	6:30 PM Arrived at FedEx location	PARIS FR	
	6:30 PM Int'l shipment release	PARIS FR	
	5:11 AM Departed FedEx location	INDIANAPOLIS, IN	
	1:32 AM Departed FedEx location	INDIANAPOLIS, IN	
	12:35 AM Arrived at FedEx location	INDIANAPOLIS, IN	
Mar 19, 2008	9:56 PM Left origin	MINNEAPOLIS, MN	
	4:25 PM Picked up	MINNEAPOLIS, MN	
	1:46 PM Package data transmitted to FedEx		

S/N 10/583,184

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Markus Lauff et al.	Examiner:	Unknown
Serial No.:	10/583,184	Group Art Unit:	1752
Filed:	June 16, 2006	Docket:	2058.101US1
Title:	METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORIZING		

DECLARATION/AFFIDAVIT OF CHRISTINE CHAUX-LUEDTKE, EMPLOYEE OF
SAP AG, ASSIGNEE OF ABOVE APPLICATION

Dear Sirs:

1. My name is Christine Chaux-Luedtke and I am an employee of SAP AG, the assignee. I hereby swear that I attempted to contact Florent Nicoulaud and Samuel Rethore at their last known addresses by registered mail on June 7, 2006 (enclosed are copies of registered receipts to both addresses, one of which has been signed as received by Mr. Rethore and one, stamped by the French Post, certifying that Mr. Nicoulaud has been notified that a document has been sent to him but that the inventor has not been to the post office to fetch the document. The same documents have been sent again to Mr. Nicoulaud as registred mail on July 4, 2006 with the same negative result. Their last known addresses which I believe to be current are as follows:

Florent Nicoulaud

20 Avenue du Docteur Fabre

06160 Juan-Les-Pins, France

Samuel Rethore

3, rue Henri Barbara

06560 Valbonne, France

2. I also swear that enclosed in the registered mailing to both Mr. Nicoulaud and Mr. Rethore was a complete application (specification, drawings and Combined Declaration/Power of Attorney) with request for signatures from both parties.

Signed: Christine Chaux-Luedtke Dated: May 2, 2008

Christine Chaux-Luedtke

Paralegal for SAP AG

Deutsche Post AG

Entreprise des Postes allemandes S. A.

Rückschein / Auszahlungsschein / Gutschriftsanzeige

Avis de réception / Avis de paiement / Avis d'inscription

Advice of delivery

CN 07

Einlieferungsfiliale / Bureau de dépôt

Datum / Date

Empfänger der Sendung / Destinataire de l'envoi

Samuel RETHORE

3 Rue Barbara

06360 Walldorf / FR

RG 61 535 474 3DE

Art der Sendung / Nature de l'envoi

☒ Brief / Vorrang
Lettre / Prioritaire

☐ Paket
Colis

☒ Einschreiben
Recommandé

☐ Wertangabe
Valeur déclarée

Einlieferungs-Nr. der Sendung / N° de l'envoi

Betrag / Montant

☐ Gewöhnliche Anweisung / Mandat ordinaire

Betrag / Montant

☐ Zahlkarte /
de versement

☐ Auslieferungsnachweis /
Chèque d'assignation

Am Bestimmungsort auszufüllen / A compléter à destination

O. g. Sendung/Betrag wurde ordnungsgemäß /
L'envoi mentionné ci-dessus a été dûment

☐ ausgehändigt
remis

☐ eingezahlt
payé

dem Postbankkonto
gutgeschrieben
insolten CCP

Datum und Unterschrift / Date et signature

* Diese(n) Schein/Anzeige kann vom Empfänger oder wenn die Vorschriften des Bestimmungslandes dies vorsehen, von einem Beauftragten oder vom Mitarbeiter des Bestimmungsamts unterschrieben werden.

* Cet avis pourra être signé par le destinataire ou, si les règlements du pays de destination le prévoient, par une autre personne autorisée ou par l'agent du bureau de destination.

Postsache
Service des postes

Stempel des Postamts,
das den Schein zurücksendet.
Timbre du bureau
renvoyant l'avis



Luftpost

Prioritaire Par avion

Zurücksenden an / Renvoyer à / Return to

Name oder Firma / Nom ou raison sociale

SAP AG / Patent

Telefon: 0 62 27/7-64412

Fax-Nr.: 0 62 27/7-64443

69190 Walldorf (Varendeanschrift)

Dietmar-Hopp-Allee 16, D-69190 Walldorf (Besuchsanschrift)

3.87

915-005-000

Deutsche Post AG
Entreprise des Postes allemandes S. A. Rückschein / Auszahlungsschein / Gutschriftsanzeige
 Avis de réception / Avis de paiement / Avis d'inscription
 ON 07

Einlieferungsfiliale / Bureau de dépôt Datum / Date **Advice of delivery**

Empfänger der Sendung / Destinataire de l'envoi
 Samuel RETHORE
 3 Rue Barbara
 66360 Waldhorn / FR RG 61 535 474 3DE

Art der Sendung / Nature de l'envoi

☒ Brief / Vorkasse ☐ Paket ☐ Luftpost ☐ Prioritaire Par avion

☒ Einschreiben ☐ Wertangabe ☐ Wertangabe
 Nachnahme ☐ valeur déclarée

Einlieferungs-Nr. der Sendung / N° de l'envoi **Betrag / Montant**

Gewöhnliche Anweisung / Modele ordinaire **Betrag / Montant**
 Zahlkarte / de virement ☐ Anweisungsscheine / ☐ Cheques d'assignation

Am Bestimmungsort nachzufüllen / A compléter à destination

O. g. Sendung/Briefing wurde ordnungsgemäß / L'envoi par avion a été dirigé **den Posten/données**
☐ ausgehändigt ☐ ausgezahlt ☐ zugestrichen
 remis remis remis

Datum und Unterschrift / Date et signature **Signature**

Stempel des Postamts, das den Schein aufstellt, Timbre du bureau de dépôt

Zurücksenden an / Renvoyer à / Return to
Name oder Firma / Nom ou raison sociale

SAP AG / Parent
 Telefon: 062 27/7-64412
 Fax-Nr.: 062 27/7-64433
 62139 Waldhorn (Vermögensschaft)
 Dietmar-Hopp-Allee 12, D-69190 Waldhorn (Sachverständigenamt)

9 97 **915-005-000**

French Post Stamp dated June 7, 2006

signature of the recipient

1 A (ENGLISH TRANSLATION)

LUFTHANSA
PAR AVION
PRIORITAIRE
Port pays
D-6800 Mannheim
ALLEMAGNE

Absent advise
Softline

RETOUR A L'EMETTEUR
RECLAME

Deutsche Post
☐ Einschreiben
Einschreiben
☒ Einschreiben
Einschreiben
RG 01 622 888 888
R

Patel

Monsieur
Florent Napoléon
20, Avenue Docteur Fabre
06160 Juvignac
France



SAP AG
Tel: 0622717-64412
Fax: 0622717-64433
Dachstein-Haus 16
D-68190 Waldorf

- AR-Rückschreiben / Avis de réception / Advice of delivery -

- AR-Rückschreiben / Avis de réception / Advice of delivery -

2006

2006 06 07 10:00
2006 06 07 10:00
2006 06 07 10:00
2006 06 07 10:00

— AR-Rückschein / Avis de réception / Advice of delivery —

— AR-Rückschein / Avis de réception / Advice of delivery —

sent on
June 7, 2006

8 912 571 000

R

☐ EINSCHREIBEN
EINWURF

☒ EINSCHREIBEN
(Recommandé)

☐ INT. NACHNAHME
(Remboursentent)

☒ RÜCKSCHEIN
(Avis de réception)

RG 61 535 569 5DE

Deutsche Post

☒ EIGENHANDIG
(A remettre en
main propre)

LUTIPPOST
PAR AVION
PRIORITAIRE
POST DEUTSCH
D-58300 ALENZHEIM
ALLEMAGNE

Absent advise
Soft for

Address was not at her
but has been informed the
a letter is waiting for him
the french post

~~Monsieur
Florent Nodard
20, Avenue du Docteur Fabre
06160 Juan-les-Pins
France~~

ALLEMAGNE

Document has not been
Document will be sent back to
sender

2A (ENGLISH TRANSLATION)

Deutsche Post AG
Entreprise des Postes allemandes S. A. Avis de réception / Avis de paiement / Avis d'inscription
Einlieferungsfiliale / Bureau de dépôt Datum / Date

Empfänger der Sendung / Destinataire de l'envoi RG 61 535 473 ODE
FLORENT NICOLAUD
20, Avenue du Docteur Faure
06160 Juan-les-Pins
Ait der Sendung / Nature de l'envoi

Vom Absender auszufüllen / A remplir par l'expéditeur

<input checked="" type="checkbox"/> Brief / Brief Ultra / Priorité	<input type="checkbox"/> Paket Code
<input checked="" type="checkbox"/> Einschreiben Recommandé	<input type="checkbox"/> Wertangabe valeur déclarée
Einfachsendung / Simple Wertangabe / Montant	
Gewährliche Anweisung / Mandat Zahlung / Auslieferungsschein / Chèque d'expédition	

Am Bestimmungsort auszufüllen / A compléter à destination

O. p. Sendung/Betrag wurde ordnungsgemäß /
L'envoi mentionné ci-dessus a été dûment
ausgehändigt /
remis

dem Postbankkonto
aufgeschrieben
recht en CCP

Datum und Unterschrift / Date et signature

Leser/! Schein/Anzeige kann vom Empfänger oder wenn die Vorschrift
in des Bestimmungslandes dies vorsehen, von einem Beauftragten oder
im Mitarbeiter des Bestimmungsorts unterschrieben werden.
à être pour être signé par le destinataire ou, si les règlements du pays de destination le
permettent, par une autre personne autorisée au par l'agent du bureau de destination.

Postsache
Service des postes
Stempel des Postamts
des den Schein zurücksendet,
Timbre du bureau
renvoyant l'avis

Luftpost
Prioritaire Par avion

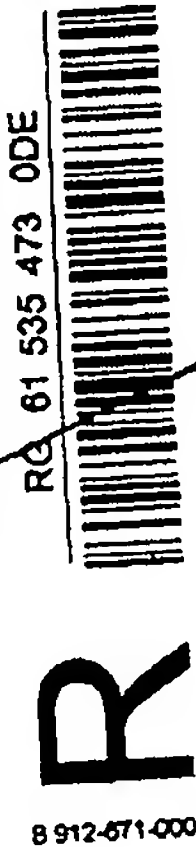
Zurücksenden an / Renvoyer à / Return to
Name oder Firma / Nom ou raison sociale

SAP AG / Aktiengesellschaft
Telefon: 062 27 7-64412
Fax-Nr.: 062 27 7-64413
9139 Weilsch (Vollständiges
Dietmar-Hopp-Platz 10, D-69190 Heidelberg (Beschriftung))

915-006-000

Deutsche Post

<input type="checkbox"/> EINSCHREIBEN EINWURF	<input checked="" type="checkbox"/> EINSCHREIBEN (Recommandé)	<input type="checkbox"/> EIGENHÄNDIG (A remettre en main propre)
<input type="checkbox"/> RÜCKSCHEIN (Avis de réception)	<input checked="" type="checkbox"/> RÜCKSCHEIN (Avis de réception)	



RG 61 535 473 ODE

8 912-671-000

Registered mail

French Post Stamp
dated July 4, 2006



SAP
14. Juli 21

Intellectual Property
Department

LUFTPOST
PAR AVION
PRIORITAIRE

Port payé
D-68309 Himmelsheim
ALLEMAGNE

Monsieur
Florent Nicolaud
20, Avenue du Docteur Faure
06160 Juan-les-Pins
France

RETURN TO
POST OFFICE
JUAN-LES-PINS

Document has not been fetched through #
Document will be sent back to the #

3A (ENGLISH TRANSLATION)

Florent Nicoulaud

Chaux-Luedtke, Christine

From: Chaux-Luedtke, Christine
Sent: Donnerstag, 1. Juni 2006 11:52
To: Chaux-Luedtke, Christine
Subject: CONFIDENTIAL: Ref: 2058.101US1 / SAP AG : 2003P00960WOUS [R=6a19d56671285f21b0bf0b4bc3156738]

Attachments: 2058.101US1 Assignment.doc; 2058.101US1 PCT app as filed.pdf; 2058.101US1 Dec POA.doc; 2058.101US1 Prel Amend approved by ALM.doc



2058.101US1 Assignment.doc (42 KB) 2058.101US1 PCT app as filed.p... POA.doc (61 KB) 2058.101US1 Prel Amend approve...

Dear inventors:

Please sign these documents and send back to me @ fax (408) 993-0832. See below for original letter sent to you on May 12, 2006, but the email address we had for you got returned.

Please acknowledge receipt of these documents. We must file by June 18, 2006, so please respond ASAP.

Thank you kindly,

Dawn Shaw
Paralegal

Dawn Shaw
Paralegal
Schwegman,
Lundberg, Woessner & Kluth, P.A.
150 Almaden Blvd., Suite 901
San Jose, CA
95113
(408) 278-4044
(408) 993-0832 (F)

english translation:
Thank you to
undersign the
documents and to
send them to the following
address:

Meri de renvoyer
les documents signés
à :

SAP AG - SAP Patent
Telefon: 062 27/7-644 12
Fax-Nr.: 062 27/7-644 33
Dietmar-Hopp-Allee 16
D-69190 Walldorf
(Allemagne)

>>From: Dawn Shaw
>>To: Marcus Lauff; Florent
>Nicoulaud; Samuel Rethore; Patrice
>>Seurat; Pascal Spadone; Axel Priestersbach;
>Cedric Ulmer; Thomas Ziegert
>>Cc:
>>Andre Marais
>>Sent: May 10, 2006 5:07:48 PM
Subject: CONFIDENTIAL: Ref: 2058. >>101US1 / SAP AG : 2003P00960WOUS

Andre L. Marais 408-278-4042 - Direct Dial
Dawn R. Shaw (408) 278-4044 - Direct Dial
amarais@slwk.com dshaw@slwk.com

May 10, 2006

Markus Lauff SAP AG Dietmar-Hopp-Allee 16, Walldorf 69190, Germany
Re: Docket # 2058.101US1 -
2003P00960WOUS
U.S. Patent Application: METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORIZING

Dear Inventors:

Enclosed is the Preliminary Amendment amending the Claim of Priority and the claims

Application as filed that we Propose to file as a National Phase 371 in the U.S. for the above-identified invention. All inventors should review the Preliminary Amendment and the application, and sign the enclosed formal papers so that we can file this application by the deadline of June 18, 2006. If there are any changes needed to the formal documents, you may put a line through, make the change and initial. Please let me know if you are unable to obtain the signatures by June 12th, and we will plan to file the application without them. If this is the case, we will need to send you new forms upon receipt of the Notice to File Missing Parts.

The inventors must carefully examine the application to ensure that it is technically accurate, that it describes the best mode of making and using the invention, and that it describe the invention in sufficient detail to enable someone skilled in the art to make and use the invention.

Please carefully review the names and ordering of the inventors as listed on the attached Combined Declaration and Power of Attorney for correctness. Only those inventors who contributed to claimed portions of the invention may be named. Please ensure that all inventors who contributed to claimed portions of the invention are named on this document.

If no further changes need to be made to the application or formal papers, the named inventor(s) must sign and date the Combined Declaration and Power of Attorney. Please return the signed Declaration to us @ (408) 993-0832.

Also enclosed is an Assignment which conveys all rights in the invention and application to SAP AG. The Assignment must be signed and dated by the inventors and also returned to us @ fax # (408) 993-0832.

We would like to remind you of your duty under 37 CFR 1.56 to disclose all information that may be material to the patentability of the claimed invention. Please inform us immediately of any information that you and the inventors are aware of that may be relevant to the invention, such as U.S. or foreign patents or printed publications, articles, theses, or abstracts. Please do not hesitate to discuss with us any questions you may have concerning the possible relevance of a prior art reference.

Please contact Andre L. Marais (408- 278-4042) or me ((408) 278-4044) if you have any questions concerning the application or the formal papers.

With kind regards,

Dawn R. Shaw
Paralegal

Enclosures

cc: Cedric S.
Ulmer (w/
enc)
Pascal T. Spadone (w/ enc)
Christine Chaux-Luedtke (w/ enc)
Axel
Spriestersbach (w/ enc)
Thomas
Ziegert (w/ enc)

Florent Nicoulaud
(w/
enc)
Samuel Rethore (w/enc)
Patrice Seurat (w/ enc)
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